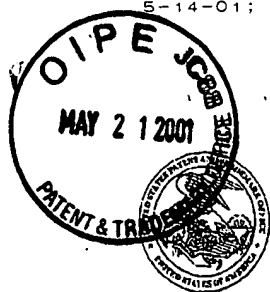


#3



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/755,002	01/05/2001	Keith G. Kaan	59.0040

CONFIRMATION NO. 4662

26751

SCHLUMBERGER AUSTIN TECHNOLOGY CENTER
ATTN: PEHR B. JANSSON, INTELLECTUAL PROP LAW DEPT.
8311 NORTH FM 620
AUSTIN, TX 78726

FORMALITIES LETTER



OC000000005921914

Date Mailed: 03/30/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$162.
 - \$162 for 9 total claims over 20.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1002.

*A copy of this notice **MUST** be returned with the reply.*


Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

05/23/2001 DTESEN1 00000082 09755002

01 FC:101	710.00 OP
02 FC:105	130.00 OP
03 FC:103	162.00 OP



Please type a plus sign (+) inside this box → ☐

PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number 09/755,002

Filing Date 01/05/2001

First Named Inventor Keith G. Kaan

Group Art Unit 215.1

Examiner Name unknown

Total Number of Pages in This Submission

Attorney Docket Number 59.0040

ENCLOSURES (check all that apply)

☒ Fee Transmittal Form

☒ Fee Attached

☐ Amendment / Reply

☐ After Final

☐ Affidavits/declaration(s)

☐ Extension of Time Request

☐ Express Abandonment Request

☐ Information Disclosure Statement

☐ Certified Copy of Priority Document(s)

☒ Response to Missing Parts/
Incomplete Application

☒ Response to Missing Parts
under 37 CFR 1.52 or 1.53

☐ Assignment Papers
(for an Application)

☐ Drawing(s)

☐ Licensing-related Papers

☐ Petition

☐ Petition to Convert to a
Provisional Application

☐ Power of Attorney, Revocation
Change of Correspondence
Address

☐ Terminal Disclaimer

☐ Request for Refund

☐ CD, Number of CD(s) _____

☐ After Allowance Communication
to Group

☐ Appeal Communication to Board
of Appeals and Interferences

☐ Appeal Communication to Group
(Appeal Notice, Brief, Reply Brief)

☐ Proprietary Information

☐ Status Letter

☒ Other Enclosure(s) (please
identify below):

- Return receipt postcard

- Declaration signed by First Inv.

- Declaration signed by Second
Inv.

Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm
or
Individual name

Anthony V. S. England

Signature

Anthony V S England

Date

5/17/01

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: May 17, 2001

Typed or printed name

Anthony V. S. England

Signature

Anthony V S England

Date

May 17, 2001

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$) 1002

Complete if Known

Application Number	09/755,002
Filing Date	01/05/2001
First Named Inventor	Keith G. Kaan
Examiner Name	unknown
Group Art Unit	unknown
Attorney Docket No.	59.0040

METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number

Deposit Account Name

☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☐ Payment Enclosed:

☐ Check ☒ Credit card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE					
Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid		
101 710	201 355	Utility filing fee	\$710		
106 320	206 160	Design filing fee			
107 490	207 245	Plant filing fee			
108 710	208 355	Reissue filing fee			
114 150	214 75	Provisional filing fee			
SUBTOTAL (1)			(\$) 710		

2. EXTRA CLAIM FEES

Total Claims - 20** = X =

Independent Claims - 3** = X =

Multiple Dependent =

Large Entity Fee Code (\$)		Small Entity Fee Code (\$)		Fee Description	Fee Paid
103 18	203 9			Claims in excess of 20	
102 80	202 40			Independent claims in excess of 3	
104 270	204 135			Multiple dependent claim, if not paid	
109 80	209 40			** Reissue independent claims over original patent	
110 18	210 9			** Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2)					(\$) 162

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES						
Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid			
105 130	205 65	Surcharge - late filing fee or oath	\$130			
127 50	227 25	Surcharge - late provisional filing fee or cover sheet				
139 130	139 130	Non-English specification				
147 2,520	147 2,520	For filing a request for <i>ex parte</i> reexamination				
112 920*	112 920*	Requesting publication of SIR prior to Examiner action				
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action				
115 110	215 55	Extension for reply within first month				
116 390	216 195	Extension for reply within second month				
117 890	217 445	Extension for reply within third month				
118 1,390	218 695	Extension for reply within fourth month				
128 1,890	228 945	Extension for reply within fifth month				
119 310	219 155	Notice of Appeal				
120 310	220 155	Filing a brief in support of an appeal				
121 270	221 135	Request for oral hearing				
138 1,510	138 1,510	Petition to institute a public use proceeding				
140 110	240 55	Petition to revive - unavoidable				
141 1,240	241 620	Petition to revive - unintentional				
142 1,240	242 620	Utility issue fee (or reissue)				
143 440	243 220	Design issue fee				
144 600	244 300	Plant issue fee				
122 130	122 130	Petitions to the Commissioner				
123 50	123 50	Processing fee under 37 CFR 1.17(q)				
126 180	126 180	Submission of Information Disclosure Stmt				
581 40	581 40	Recording each patent assignment per property (times number of properties)				
146 710	246 355	Filing a submission after final rejection (37 CFR § 1.129(a))				
149 710	249 355	For each additional invention to be examined (37 CFR § 1.129(b))				
179 710	279 355	Request for Continued Examination (RCE)				
169 900	169 900	Request for expedited examination of a design application				
Other fee (specify) _____						
*Reduced by Basic Filing Fee Paid						
SUBTOTAL (3)			(\$) 130			

SUBMITTED BY		Complete (if applicable)	
Name (Print/Type)	Anthony V.S. England	Registration No. (Attorney/Agent)	35,129
Signature	<i>Anthony V.S. England</i>	Telephone	512-477-7165
		Date	May 17, 2001

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